

Attorney Docket No. 5405239CT

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Redford B. Williams, Jr.**

Application Serial No.: 10/625,134

Filed: **July 23, 2003**

For: *Use of Polymorphism of the Serotonin Transporter Gene Promoter as a Predictor of Disease Risk*

Group Art Unit: **Not Yet Assigned**

Examiner: **Not Yet Assigned**

Date: November 12, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

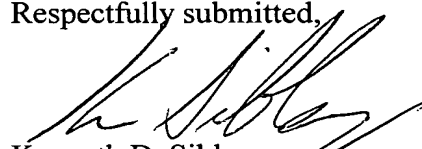
Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

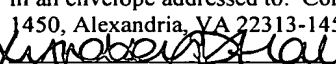
Respectfully submitted,


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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 11, 2003.


Lindsey D. Hall, CP
Certified Paralegal

Substitute form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

| | |
|------------------------|--------------------------|
| Application Number | 10/625,134 |
| Filing Date | July 23, 2003 |
| First Named Inventor | Redford B. Williams, Jr. |
| Group Art Unit | Not Yet Assigned |
| Examiner Name | Unknown |
| Attorney Docket Number | 5405.239CT |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|----------|----------------------|-------------------------|--|--|--|
| | | Number | Kind Code (if known) | | | |
| | 1 | 5,418,162 | | Blakely et al. | 5/23/95 | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T |
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T |
|-----------------------|-------------|--|---|
| | 2 | Arinami, Tadao, et al., <i>A Synergistic Effect of Serotonin Transporter Gene Polymorphism and Smoking in Association with CHD, Thromb. Haemost.</i> , Vol. 81, No. 6, pp. 853-6 (June 1999) | |
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Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.